



AML BASE CAMP

The AML Base Camp has been established as an addition to the AML Summit introducing newcomers to AML by covering fundamentals and need-to-know principles. During the conference our speakers will provide updates on the latest thinking and guidance. You will have the opportunity to connect with key industry players, alongside your peers from all over the country who are also tasked with meeting AML/CFT obligations.

+ Conference Agenda

8:30	Registration desk opens
9:30	Welcome Address Richard Manthel , AML Solutions
9:40	Matthew Mitchell , Ministry of Justice <ul style="list-style-type: none"> ○ Introduction of Phase 2 of AML/CFT
10.00	AML Initiate Class Richard Manthel , AML Solutions <ul style="list-style-type: none"> ○ Your AML/CFT obligations ○ Guidance steps
10:30	Carolyn Cody , Financial Intelligence Unit (FIU) <ul style="list-style-type: none"> ○ Phase 2: Role of FIU ○ Case studies ○ GoAML portal

- Morning Tea, 11:00

11.20	NZ Police Demonstration
11:40	AML Initiate Class Sue Gavin , AML Solutions <ul style="list-style-type: none"> ○ Role of the Compliance Officer ○ Good governance ○ Practical guidance

- Lunch, 1:00

2:00	AML Intermediate Class Martin Dilly , AML Solutions <ul style="list-style-type: none"> ○ Risk Assessment ○ CDD and EDD ○ SAR and Red Flag through both presentations and workshops
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- Afternoon Tea, 3:30

3.50	Andrew Holmes , Department of Internal Affairs <ul style="list-style-type: none">○ Approach to regulation○ What should entities expect○ Enforcement
4.20	Michelle Corse-Scott , Milford Asset Management <ul style="list-style-type: none">○ AML/CFT Programme Implementation○ Compliance Programme and Implementation○ Training and Vetting
4.55	Conference final remarks and close Richard Manthel , AML Solutions

+ Nibbles & Beverages available, 5.00

5.00 – 7.00pm	Networking drinks and canapes in the AML SUMMIT lobby.
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+ Spotlight Profiles



Richard Manthel, CAMS, BCOM

...is a Certified Anti Money Laundering Specialist and co-founder of AML Solutions. He has been fully dedicated to driving the AML industry in New Zealand since 2012 and has close contact with government department, regulating authorities, industry providers, and consultants. Richard is frequently asked to speak at AML events including for REINZ, CAANZ, ADSL, and the Law Society.



Martin Dilly, CAMS-Audit, CAMS, BCOM LLB

...has consulted full time as an AML/CFT specialist since 2012. He was the first person in Australasia to gain the CAMS Audit advanced certification and was most recently internationally recognised by being appointed to the ACAMS teaching faculties for CAMS and CAMS-Audit. Martin is one of New Zealand's most sought-after speakers at industry conferences and events. Prior to becoming a Director at AML Solutions, Martin was a solicitor at Russell McVeagh and held senior legal and compliance roles at ABN AMRO Bank N.V. – New Zealand and with Heartland Building Society as Head of Compliance.



Sue Gavin, CAMS, CA, BCOM

... has over 15 years' experience holding senior roles predominantly focussed on regulatory and operational compliance. Sue has also worked as a Compliance Manager at Heartland Building Society before working for two global financial institutions. She was the AML/CFT Compliance Officer for the GE Capital Designated Business Group; and at Citibank N.A. her role covered core AML/CFT functions for both the Australian and New Zealand businesses. Sue is a Certified Anti-Money Laundering Specialist (CAMS) and has joined the AML Solutions team in 2017.



Carolyn Cody

... has been with the FIU for over five years. Her team look after the Suspicious Transaction and Activity Reports submitted by the thousands of currently registered reporting entities. This involves receiving, validating, and completing an initial assessment on them before they are accepted into the goAML system. Carol looks after training for entities with respect to AML, liaising with key partners, and is the point of contact for the three sector supervisors on compliance matters. Prior to joining the FIU, Carol's background involved conducting investigations in both the Wellington CIB and the Banking Industry.



Michelle Corse-Scott, BCOM

... is Head of Risk & Compliance & General Counsel (and the AML/CFT Compliance Officer) at Milford Asset Management. She has extensive experience in the financial services industry. Prior to joining Milford, Michelle was Head of Treasury, Payments & Contracts Legal at Westpac New Zealand.

Michelle is able to share first-hand experience on AML/CFT Compliance matters and how, practically, implementation of compliance programmes pans out.



Andrew Holmes

... Team Leader, Financial Integrity Team at the Department of Internal Affairs, manages the Auckland team responsible for monitoring and ensuring compliance with the Anti-Money Laundering and Countering Financing of Terrorism Act 2009. Andrew is a former police detective with the New Zealand Police. He holds a Bachelor of Commerce from the University of Otago and LLM (Hons) from the University of Auckland. He is a Certified Anti-Money Laundering Specialist (CAMS). Andrew was part of the Asia Pacific Group assessment team which recently completed the mutual evaluation of Macao China, with the Financial Action Task Force (FATF) recommendations and the effectiveness of their AML/CFT system.



Matthew Mitchell

... is the AML/CFT Work-stream Lead at the Ministry of Justice. He is responsible for overseeing implementation of phase 2 of the AML/CFT regime, including the development of regulations and the communications strategy. Prior to working at the Ministry of Justice, Matthew was employed in the finance sector, working for investment banks UBS and Merrill Lynch in London for a number of years. Matthew holds a Master of Strategic Studies from Victoria University

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**Please note, program is still subject to changes*