



AML^{NZ} SUMMIT

*This annual conference was established to bring the AML community together immersing working professionals in all things AML.
 During the conference our speakers will provide updates on the latest thinking and guidance.
 You will have the opportunity to connect with key industry players, alongside your peers from all over the country who are also tasked with carrying out obligations.*

+ Conference Agenda

8:30	Registration desk opens
9:10	AML Summit introduction Rawdon Christie – MC for the AML SUMMIT
9:15	Welcome Address Mike Bush , NZ Police Commissioner
9:30	International Keynote - Global AML/CFT Regulatory Trends including preparation for NZ 2020 mutual evaluation, post Phase 2, and Cryptocurrencies. Timothy Goodrick , Financial Action Task Force
- Morning Tea, 10:30	
10:50	AML Masterclass – Suggestions for regulatory change with intent to simplify AML Compliance. Thought provoking session presented by industry experts. Martin Dilly , AML Solutions Nick Hegan , Forsyth Barr Bob Welsh , First NZ Capital
11:50	Money Laundering Case Studies Carolyn Cody , NZ Police Financial Intelligence Unit (FIU)
12.10	Ministry of Justice approach to AML/CFT – Topics will cover NZ's Mutual Evaluation and MoJ's communication plans Matthew Mitchell , Ministry of Justice

- Lunch, 12:30

1:30	<p>Supervisor Session – Our Supervisors will give individual updates followed by a Q&A session</p> <p>Geoff Brown, Financial Markets Authority (FMA)</p> <p>Damian Henry, Reserve Bank of New Zealand (RBNZ)</p> <p>Andrew Holmes, Department of Internal Affairs (DIA)</p>
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- Afternoon Tea, 3:00

3:20	<p>Audit White Paper – focussing on the role of AML/CFT Audits</p> <p>Tijana Misur, AML Solutions</p>
3:50	<p>Regulation and Enforcement – Industry experts discuss recent cases such as Ping An before answering questions from the floor.</p> <p>Gary Hughes, Barrister at Akarana Chambers</p> <p>Neil Jeans, Initialism AML</p> <p>Paula Milne, ANZ Bank</p>
4:50	<p>Conference final remarks and close</p> <p>Rawdon Christie and Richard Manthel, AML Solutions</p>

- Networking Function, 5:00

5.00 – 7.00pm	Networking drinks and canapes in the AML SUMMIT lobby.
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+ Spotlight Profiles



Rawdon Christie is an award-winning broadcaster with twenty years' experience in communication and media. His career started with the BBC but he's best-known for his five years presenting Television New Zealand's Breakfast show, where he interviewed hundreds of guests, from senior politicians and business leaders to Oscar winners and Olympic champions. Rawdon's career highlight came before that as a news presenter when he anchored TVNZ's rolling coverage of both major Christchurch earthquakes in front of an audience of millions. He has also presented reality show Dragon's Den, politics show Agenda and several other News and Current Affairs programmes for TVNZ and the BBC. Rawdon has now transferred his love of story-telling to helping others in business tell their stories.



Mike Bush Mike Bush has been the Commissioner of the 12,000 strong New Zealand Police since April 2014; a role he was recently reappointed to through until April 2020. Mike has taken a highly-visible leadership role around a range of work to improve Police's diversity, inclusiveness and overall culture. This has included instigating a Police High Performance Framework, and adding Empathy and Valuing Diversity to New Zealand Police's core values. As Commissioner, Mike is committed to Police's Prevention First operating model, which puts preventing crime and reducing victimisations at the centre of policing. Prevention First also underpins New Zealand Police's Policing 2021 (P21) transformation programme which, following on from the earlier Policing Excellence strategic change programme (2009-2014), promises to help make New Zealand Police even more mobile, visible, effective and efficient than ever before. Mike joined New Zealand Police in 1978, and has held a range of national and international posts. For example, at the time of the 2004 Boxing Day tsunami, Mike was New Zealand Police's Liaison Officer for South East Asia, based in Bangkok. He was the first New Zealand official on the scene at the devastated Phuket, and was made a Member of the New Zealand Order of Merit (MNZM) for his work following the disaster.



Tijana Misur is a Chartered Accountant and a Certified Anti-Money Laundering Specialist. She has most recently completed her advanced AML Audit certification (CAMS-Audit) after attending training in Hong Kong. Tijana graduated from Auckland University with a Bachelor of Commerce majoring in Accounting and Commercial Law before working for KPMG as a Financial Services Auditor. During her time there she took on a secondment working for the KPMG Luxembourg office, focusing on providing audit services to specialised clients with international reporting requirements. In her years at AML Solutions, Tijana has audited a significant portion of the market and has had exposure to reporting entities operating in various sectors, and across international jurisdictions including New Zealand, Australia, Vanuatu, Fiji, and Samoa.



Timothy Goodrick is an AML Specialist currently working for the Financial Action Task Force (FATF) Training and Research Institute. He previously worked in New Zealand as an AML Advisor for KPMG with a secondment at the Ministry of Justice before returning to FATF in Korea. Prior to his year in New Zealand, Tim spent some years at FATF working with industry and governments to develop international AML/CFT policies and assessments. He was the Director of Financial Crime in the Australian Attorney-General's Department and represented FATF and Australia at international meetings of the United Nations, G20, Basel Committee and OECD, as well as working for AUSTRAC in compliance. Tim has a Master of Laws and is a Certified Anti-Money Laundering Specialist (CAMS).



Martin Dilly has consulted full time as an AML/CFT specialist since 2012. He has assisted a wide range of entities as a Certified Anti-Money Laundering Specialist (CAMS) and was the first person in Australasia to gain the CAMS Audit advanced certification. He has most recently been internationally recognised by being appointed to the ACAMS teaching faculties for CAMS and CAMS-Audit and presents at AML Solutions' public and in-house training sessions. He is one of New Zealand's most sought-after speakers for industry conferences and events. Prior to becoming a Director at AML Solutions, Martin commenced progressed his career as a solicitor at Russell McVeagh and held senior legal and compliance roles at ABN AMRO Bank N.V. – New Zealand and with Heartland Building Society as Head of Compliance.



Carolyn Cody has been with the FIU for over five years. Her team look after the Suspicious Transaction and Activity Reports submitted by the thousands of currently registered reporting entities. This involves receiving, validating, and completing an initial assessment on them before they are accepted into the goAML system.

Carol looks after training for entities with respect to AML, liaising with key partners, and is the point of contact for the three sector supervisors on compliance matters.

Prior to joining the FIU, Carol's background involved conducting investigations in both the Wellington CIB and the Banking Industry.



Gary Hughes is an independent barrister with over 22 years' experience, specialising in all types of regulatory investigations, cases and advice. He is widely regarded as NZ's most experienced Anti-Money Laundering lawyer.

Gary has worked on AML matters since 2007, and before that in the UK. In 2008 he helped establish ACAMS in New Zealand to bring a broad range of reporting entities and experts together. In addition to AML and financial crime, his legal practice covers regulatory problems or court proceedings involving the Commerce Commission, FMA, Serious Fraud Office, Privacy Commissioner and other agencies. He maintains strong sector independence, and has been briefed to act both for and against the AML Supervisors in discrete cases.

Before establishing Akarana Chambers in Auckland in 2016, Gary was a partner at leading law firm Wilson Harle, and also had significant time at Chapman Tripp and Clyde & Co (London).



Nick Hegan joined Forsyth Barr in 2014. He was previously a partner at a large New Zealand law firm and has over 20 years of experience in the financial and legal industries in New Zealand and overseas, including advising on regulatory issues and securities law, mergers and acquisitions, joint ventures and all aspects of general corporate and commercial law. Prior to commencing legal practice, Nick worked as a financial markets trader. Nick is a member of the NZX Markets Disciplinary Tribunal and holds an LLB (Hons) from Victoria University and a BSc (Hons) (Mathematics) from the University of Canterbury.



Damian Henry is the team leader of the Reserve Bank of New Zealand's AML/CFT supervision team. He is a qualified lawyer and has over 15 years' experience with financial crime policies and procedures within the banking sector in New Zealand. He was previously the AML Compliance Officer for ANZ New Zealand and Kiwibank and has held other financial crime related roles within the private sector.



Richard Manthel is a Certified Anti Money Laundering Specialist and co-founder of AML Solutions. He has been fully dedicated to driving the AML industry in New Zealand since 2012 and has close contact with government department, regulating authorities, industry providers, and consultants. Richard is frequently asked to speak at AML events including for REINZ, CAANZ, ADSL, and the Law Society.



Andrew Holmes, Team Leader, Financial Integrity Team at the Department of Internal Affairs, manages the Auckland team responsible for monitoring and ensuring compliance with the Anti-Money Laundering and Countering Financing of Terrorism Act 2009.

Andrew is a former police detective with the New Zealand Police. He holds a Bachelor of Commerce from the University of Otago and LLM (Hons) from the University of Auckland. He is a Certified Anti-Money Laundering Specialist (CAMS). Andrew was part of the Asia Pacific Group assessment team which recently completed the mutual evaluation of Macao China, with the Financial Action Task Force (FATF) recommendations and the effectiveness of their AML/CFT system.



Geoff Brown is a Principal Adviser, Supervision at the Financial Markets Authority, based in Wellington. The Supervision team monitors the conduct, competency and compliance of financial markets participants. Geoff's focus since 2008 has been with the Anti-Money Laundering and Countering Financing of Terrorism Act and Regulations and is the FMA's subject matter expert in this area. Prior to this Geoff was in the investigations and enforcement team with the Securities Commission since 2003. Geoff has a background in regulation but has also worked in the areas of accounting, share broking and investment advising and was a Detective based in the Wellington CIB. Geoff is a Certified Anti Money Laundering Specialist and is a Certified Fraud Examiner.



Matthew Mitchell is the AML/CFT Work-stream Lead at the Ministry of Justice. He is responsible for overseeing implementation of phase 2 of the AML/CFT regime, including the development of regulations and the communications strategy. Prior to working at the Ministry of Justice, Matthew was employed in the finance sector, working for investment banks UBS and Merrill Lynch in London for a number of years. Matthew holds a Master of Strategic Studies from Victoria University



Paula Milne is the Head of Financial Crime for ANZ New Zealand and the Pacific and is the AML/CFT Compliance Officer for the Bank's DBG. Having responsibility for managing Money Laundering and financing terrorism risk across 11 countries keeps Paula busy. Prior to joining ANZ in 2015 Paula has been AML/CFT Compliance Officer for both ASB and Guardian Trust prior to joining ANZ. Some might say she is a sucker for punishment but weirdly Paula is passionate about preventing Financial Crime and doing the right thing for NZ Inc (and of course her Pacific countries too). The focus on financial crime was a different and unexpected change after having spent most of her earlier career as a trust lawyer

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*Please note, program is still subject to changes

