

AMLHub Pep Check tutorial and explanation.

The in-built PEP check feature within AMLHub provided by green ID checks a variety of lists from various governmental and inter-governmental agencies. These lists contain the names of known criminals, sanctioned individuals, politically exposed persons (PEPs) and other high-risk individuals.

The lists are divided into two categories;

Politically Exposed Persons lists; These lists contain individuals who are politically engaged in various countries. (e.g Members of the New Zealand parliament appear on this list.)

Sanction lists; These lists contain individuals who have been sanctioned by governmental or inter-governmental agencies (i.e the United Nations). Individuals are usually sanctioned because they are deemed to be involved in illegal activities.

This service matches individuals to the lists by **name only** so it is possible for false positives to occur. For example, someone with a common name like John Robertson who is of Australian nationality may appear to fail the EU Consolidated List check due to another person with the same name being included on this list.

If a person fails a PEP check it is up to the individual performing said check to decide the best course of action based on the results.

Below is an explanation of the lists we use and how to manually check their entries in case a false positive is suspected.

DFAT (Sanction) – The Australian Department of Foreign Affairs and Trade. This list contains individuals who are currently Sanctioned by the Australian Federal Government. The list can be found at: <https://dfat.gov.au/international-relations/security/sanctions/Pages/consolidated-list.aspx> as multiple downloadable formats that can be opened in Excel.

OFAC and OFACPLC (Sanction) – The Office of Foreign Assets and Control a US financial intelligence agency, these lists contain individuals sanctioned by the United States. This list is searchable online at <https://sanctionssearch.ofac.treas.gov/> select “Individual” in the Type field and enter the name you wish to search.

UN Consolidated (Sanction) – A list of the main individuals sanctioned by the United Nations. Accessible at <https://www.un.org/securitycouncil/content/un-sc-consolidated-list> The list is available here and downloadable in a range of formats.

EU Consolidated (Sanction) – A list of individuals sanctioned by the European Union. The list is downloadable at <https://data.europa.eu/euodp/en/data/dataset/consolidated-list-of-persons-groups-and-entities-subject-to-eu-financial-sanctions> located in a variety of formats towards the bottom of the page.

HMT List (Sanction) - A list of sanctioned individuals by the United Kingdom, Downloadable versions of the list can be found here: <https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets>

UKMP List (**PEP**) – A list of the UK Members of Parliament, searchable by name at: <https://www.parliament.uk/mps-lords-and-offices/mps/>

NZMP List(**PEP**) – A list of the NZ Members of Parliament, searchable by name at: <https://www.govt.nz/browse/engaging-with-government/members-of-parliament/>

CYMP(**PEP**) – A list of the Cypriot Members of Parliament, This site contains all the MP's in plain text on their website with no easy way to search it, pressing control + f on your browser and entering the name will speed up the process of manually reading through the information. - : <http://www.parliament.cy/easyconsole.cfm/id/185/lang/en/>

State Durma(**PEP**) – A list of federal members of the Russian federal assembly, members are searchable by name at <http://old.duma.gov.ru/structure/deputies/> please note that since Russia uses the Cyrillic Alphabet searches in Latin script will yield 0 results. So a copy of the clients Cyrillic name may be required to double check this.

ICE Most wanted(**Sanction**) – A list of wanted individuals by the US Customs and Immigration enforcement agency, a list of all wanted suspects can be found at <https://www.ice.gov/most-wanted>

System for Award Management Exclusions (**Sanction**) – A database of Individuals excluded from receiving US government contracts on the grounds of suspicion. You can search the database at <https://www.sam.gov/SAM/pages/public/searchRecords/advancedPIRSearch.jsf> by selecting single search and filling in the name and any other information in the fields provided.

OSF (**Sanction**) I – A list of sanctioned individuals by the Canadian Government, You can download this list in multiple excel formats at <http://www.osfi-bsif.gc.ca/Eng/fi-if/amlc-clrpc/atf-fat/Pages/default.aspx>

Interpol most wanted (**Sanction**) – A list of the most wanted individuals by INTERPOL searchable by name and nationality by scrolling to the middle of the page at <https://www.interpol.int/How-we-work/Notices/View-Red-Notices>